

RECEIVED
CENTRAL FAX CENTER**JAN 16 2007**3141 FAIRVIEW PARK DRIVE
SUITE 500
FALLS CHURCH, VIRGINIA 22042**LEE & MORSE, P.C.**
*Attorneys and Counselors at Law*TELEPHONE 703.207.0008
FACSIMILE 703.207.0003
E-MAIL: info@lee-iplaw.com
www.lee-iplaw.com**► FACSIMILE TRANSMITTAL SHEET ◀****TO: TECHNOLOGY A/U 1732****DATE: JANUARY 16, 2007****COMPANY: U.S. PATENT AND TRADEMARK OFFICE****FROM: SUSAN S. MORSE, REG. NO. 35,292****FAX NUMBER: 571.273.8300****TOTAL NO. OF PAGES INCLUDING COVER: 19****ATTORNEY DOCKET NUMBER:**

In re the Application of

Brian HARDEN et al.

Art Unit 1732

Serial No.: 10/647,262

Examiner: Mathieu D. Vargot

Filed: August 26, 2003

Confirmation No. 2074

For: METHOD FOR REPLICATING OPTICAL ELEMENTS,
PARTICULARLY ON A WAFER LEVEL, AND REPLICAS
FORMED THEREBY (AS AMENDED)

Attorney Docket No.: DOC.016DC

Transmitted herewith is/are

- ☒ Amendment
☒ Amendment Transmittal
☒ Terminal Disclaimer
☒ Petition for Extension of Time 3 months
☒ PTO form 2038

Return receipt, via facsimile [to 703.525.4265], of the above-referenced document(s) is hereby requested.

THE INFORMATION CONTAINED IN THIS FACSIMILE IS INTENDED FOR THE NAMED RECIPIENTS ONLY. IT MAY CONTAIN PRIVILEGED AND CONFIDENTIAL INFORMATION, AND IF YOU ARE NOT AN INTENDED RECIPIENT, YOU MUST NOT COPY, DISTRIBUTE OR TAKE ANY ACTION IN RELIANCE ON IT. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY FACSIMILE OR BY CALLING (703) 207-0008 OR BY E-MAIL (info@lee-iplaw.com), AND RETURN THE ORIGINAL TO US BY MAIL. WE WILL REIMBURSE YOU FOR THE POSTAGE.**LEE & MORSE, P.C.**

Attorney Docket No. 280/016 DC

• DEPOSIT ACCOUNT CHARGE AUTHORIZATION

RECEIVED
CENTRAL FAX CENTER

JAN 16 2007

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

Any additional fee(s) necessary to effect the proper and timely filing of the above-paper may also be charged to Deposit Account No. 50-1645.

JAN 16 2007

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4610).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **\$100.00****Complete if Known**

Application Number	10/647,262
Filing Date	August 26, 2003
First Named Inventor	Brian HARDEN et al.
Examiner Name	M.D. Vargot
Art Unit	1732
Attorney Docket No.	280/016 DC

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-1645 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Multiple Dependent Claims		
Total Claims	Extra Claims	Fee (\$)
37	4	\$50.00
HP = highest number of total claims paid for, if greater than 20.		Fee Paid (\$)
		\$100.00
Index Claims		
Total Claims	Extra Claims	Fee (\$)
3	0	200.00
HP = highest number of independent claims paid for, if greater than 3.		Fee Paid (\$)
		0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
37	37	1	250	250
- 100 = 27 / 50 = 0.54 (round up to a whole number) x 250 = 135				

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature	<i>Susan S. Morse</i>	Registration No. (Attorney/Agent)	35,292	Telephone	703.207.0008
Name (Print/Type)	Susan S. Morse	Date	January 18, 2007		

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.